Background
The adverse childhood experiences (ACEs) capacity assessment tool (ACECAT) is an electronic survey created by ASTHO in collaboration with CDC. The ACECAT helps state and territorial health agencies (S/THAs) create an internal inventory of their infrastructure and topical capacity to address the prevention and mitigation of ACEs. The tool is structured around three main components: 1) background on the S/THA’s ACEs point of contact; 2) characteristics of S/THA infrastructure to support ACEs prevention; and 3) evidence-based topical ACEs prevention strategies. ASTHO first administered the ACECAT to 59 S/THAs in 2019, and the survey is the only nationally represented data source on S/THA ACEs prevention capacity.

The goals of the ACECAT are to:
• Catalyze health agency conversation on infrastructure, topical capacity assets, and needs to advance ACEs prevention.
• Identify priorities, strengths, and opportunities to improve ACEs prevention.
• Encourage collaborative discussions and partnerships to expand ACEs prevention.

Methods
ASTHO administered the ACECAT from Nov. 19, 2019, to Jan. 2, 2020. Participants included subject matter experts (SMEs) identified by S/THA leadership and their programmatic teams. Each S/THA responded only once.

ASTHO collected data from respondents using the electronic survey platform, Qualtrics. ASTHO received responses from 41 states and three territories/freely associated states, which equates to a 75% response rate.

S/THAs were grouped according to Health and Human Services regions to produce nine reports. Region 5 includes six states, and five agencies responded to the ACECAT (N=5).

State and Territorial Health Agency
ACEs Prevention Capacity

WHO IS WORKING ON ACEs?

HOW ARE AGENCIES STRUCTURED?

WHAT STRATEGIES ARE AGENCIES FOCUSED ON?
Purpose of the 2021 ACECAT Regional Report
The purpose of this report is to provide regional data to assist S/THAs in strengthening ACEs prevention in:
- Strategic planning
- Program improvement
- Funding opportunities
- Technical assistance

ASTHO staff are available to provide assistance as needed to interpret the results. Please contact the social and behavioral health team at sbh@astho.org.

ACECAT 2019 Summary Report: Region 5
Five Respondents: Illinois, Indiana, Michigan, Ohio, Wisconsin

Who is Working on ACEs Prevention?
This section highlights characteristics of the ACEs S/THA point of contact. Respondents were able to select all options that apply to each question.

FIGURE 1: Respondents describe their program role as:

- Maternal and child health: 80%
- Cross-cutting: 40%
- Suicide prevention: 20%
- Behavioral health/mental health: 20%
- Family health services: 20%
- Substance misuse prevention: 20%

FIGURE 2: Respondents are working on the following ACEs topics:*

- Physical and sexual abuse: 100%
- Violence in the household: 100%
- Household challenges: 100%
- Environmental factors: 100%
- Parental Incarceration: 100%
- Parental separation/divorce: 60%
- Creating and supporting safe nurturing relationships with adults: 100%
- Providing social support: 100%
- Emotional abuse, emotional and physical neglect: 80%
- Other protective factors/mitigating factors for ACEs: 80%

*Environmental factors include financial challenges in the household and housing instability.
FIGURE 3: Respondents are working with the following partners:

<table>
<thead>
<tr>
<th>Partner</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Community-based</td>
<td>60%</td>
</tr>
<tr>
<td>Youth development</td>
<td>60%</td>
</tr>
<tr>
<td>Family support networks</td>
<td>60%</td>
</tr>
<tr>
<td>Advocacy</td>
<td>60%</td>
</tr>
<tr>
<td>Mental health and substance use</td>
<td>60%</td>
</tr>
<tr>
<td>Education</td>
<td>60%</td>
</tr>
<tr>
<td>Child welfare and human services</td>
<td>60%</td>
</tr>
<tr>
<td>Juvenile justice/criminal justice</td>
<td>60%</td>
</tr>
<tr>
<td>Business</td>
<td>40%</td>
</tr>
<tr>
<td>Philanthropy</td>
<td>40%</td>
</tr>
<tr>
<td>Early childhood</td>
<td>40%</td>
</tr>
<tr>
<td>Law enforcement</td>
<td>40%</td>
</tr>
</tbody>
</table>

What Systems Are in Place to Support ACEs Prevention?

For Table 1, ASTHO assessed respondents’ capacity on a four-point capacity scale and then converted to percentages ranging from no capacity, limited (33%), some (67%), and full capacity (100%). Percent capacity for each item is calculated as an average of the capacity for each tactic. This section highlights components that affect program capacity, implementation, and sustainability.

Table 1.

<table>
<thead>
<tr>
<th>Description</th>
<th>Mean (N=5)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Strategic partnerships that enhance coordination of efforts toward a common goal</td>
<td>55%</td>
</tr>
<tr>
<td>Leadership at all levels that interact with and have an impact on the program</td>
<td>53%</td>
</tr>
<tr>
<td>Funding and social capital or relationships that produce social benefits.</td>
<td>56%</td>
</tr>
<tr>
<td>Responsive planning for public health goals.</td>
<td>49%</td>
</tr>
<tr>
<td>Engaged Data to promote public health goals (N=4).</td>
<td>44%</td>
</tr>
</tbody>
</table>

What Strategies Are Region 5 Agencies Working on to Support ACEs Prevention?

Table 2 utilizes the same capacity index methodology as Table 1 to determine the percentages ranging from no to full capacity. This section highlights index scores for each level at which the ACEs prevention strategy is targeting outcomes, ACEs disparities, workforce capacity, and surveillance and monitoring.

Table 2.

<table>
<thead>
<tr>
<th>Description</th>
<th>Mean (N=5)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Primary prevention: Evidence-based strategies for preventing ACEs before they occur</td>
<td>39%</td>
</tr>
<tr>
<td>Secondary prevention: Identification and care coordination for individuals at risk</td>
<td>21%</td>
</tr>
<tr>
<td>Tertiary prevention: Reducing the health impact for vulnerable populations.</td>
<td>40%</td>
</tr>
<tr>
<td>ACEs disparities: Identification of high-risk populations and high ACE score prevalence.</td>
<td>35%</td>
</tr>
<tr>
<td>Workforce capacity: Education and training for medical professionals.</td>
<td>43%</td>
</tr>
<tr>
<td>Surveillance and monitoring (e.g., analyze behavioral risk factor surveillance system data).</td>
<td>55%</td>
</tr>
</tbody>
</table>
Although the ACECAT was administered pre-pandemic in 2019, the urgency for a systems-level approach to preventing ACEs remains. S/THAs can promote well-being for families that address the root causes of ACEs and combat the adverse effects of the pandemic. Based on the results, S/THAs can implement the following recommendations to maximize societal impact and efficiently achieve better outcomes.

1. **Use a shared risk and protective approach.**
   - **Why?** ACECAT results show health agencies are focusing on primary prevention of ACEs and should work towards advancing macro-level strategies, such as strengthening family financial supports.
   - **How?**
     » Promote policies that address the root causes of ACEs, such as structural inequities (e.g., family financial security policies, policies addressing supports for youth experiencing unstable home environments).
     » Leverage partnerships between public health and education to mitigate COVID-related socio-emotional harms youth may be experiencing.

2. **Seek innovative partnerships.**
   - **Why?** ACECAT results show that health agencies with strong partnerships have higher capacity in other areas of prevention, such as the ability to secure funding.
   - **How?** Inventory your current S/THA partnerships and strategic plans regularly to understand roles and responsibilities and maximize resources.

3. **Leverage existing funding and strengthen health equity.**
   - **Why?** ACECAT results show that health agencies can improve infrastructure to ensure populations disproportionally impacted by ACEs are prioritized.
   - **How?**
     » Use evidence-based prevention strategies that offer a positive return on investment.
     » Leverage 1115 Medicaid waiver flexibilities to strengthen protective factors for ACEs prevention.

### Additional Resources

- [Adverse childhood experiences, CDC](https://www.cdc.gov/ACE/)
- [We can prevent childhood adversity, CDC](https://www.cdc.gov/ACE/)