Payment & Delivery Reform Technical Assistance Survey Results Summary

Association of State and Territorial Health Officials (ASTHO)
Health Systems Transformation Team
November 2014
Survey Overview

- In July-August 2014, ASTHO surveyed state and territorial health agencies (S/THAs) to assess the needs and current activities of S/THAs in regard to State Innovation Models (SIM) grants and other payment and delivery reform initiatives.
- The purpose of the survey was to understand what types of support (e.g., training, technical assistance, etc.) S/THAs need to be successful in their payment and delivery reform efforts.
- The survey was administered online via Qualtrics.
Survey Participants

- 43 S/THAs responded, representing a response rate of approximately 70 percent.\(^1\)
  - 24 states reported having a SIM grant
    - Design-14; Pre-testing-3; Testing-7
  - 19 reported that they did not have a SIM grant

SIM Round 2 Applications

- 28 states reported that they were planning to apply
  - Design-12; Testing-16
- 15 states indicated that they were not planning to apply

\(^1\) Four respondents did not identify their states/territories; it is possible that these four respondents are duplicative of other respondents who did identify their states/territories. The actual response rate falls between 66 and 73 percent.
Engagement in payment or delivery reform initiatives

Type and Stage of Reform Initiatives for SIM and non-SIM States

- Major overhaul of the payment system
- Major overhaul of the delivery system
- Partial adaptation of the payment system
- Partial adaptation of the delivery system
- Limited pilots in Medicaid or other state run efforts
- Other

Number of States

Planning Implementation
Successful State Reform Initiatives

The most common successes that respondents reported were:
- Formalized partnerships
- Increased resources to support activities
- Passed legislation/regulations to support systems changes

Respondents were asked to assess the reasons for these successes. Key themes include:
- Leadership commitment of Governor and/or Lieutenant Governor
- Legislative support
- Increased/dedicated funding resources
- Strong partnerships, both formal and informal, between public health, State Medicaid Agency, and public and private partners
- Inter-agency collaboration and multi-stakeholder involvement/engagement
Barriers to Planning SIM and non-SIM Payment and Delivery System Reform Activities

Barriers to Planning

- Not enough resources
- Differing perspectives regarding which steps to take
- Challenges defining population health
- Other

Number of States

- SIM
- non-SIM Payment/Delivery System Reform
Barriers to Implementing SIM and non-SIM Payment and Delivery System Reform Activities

- Not enough resources
- Challenges in workforce recruitment and/or training
- Differing perspectives regarding which steps to take
- Other

Number of States

SIM
non-SIM Payment/Delivery System Reform
Community-Based Prevention Initiatives

Types of Community-Based Prevention Initiatives

- Provision of innovative approaches within clinical settings
- Provision of appropriate clinical preventive services
- Efforts to change conditions in the community, workplace, or school
- Efforts to pilot or adopt new financing models that provide sustained revenue support
- Access to community-based services (e.g., exercise classes or smoking cessation groups)

Number of States
Future Technical Assistance (TA) Needs

Survey respondents indicated how useful they thought TA in certain areas would be in planning or implementing payment or delivery reforms in the future.

The following are the top areas TA would be useful in the future (based on mean scores on a four-point scale with 1 equaling “not useful at all” and 4 equaling “very useful”):

- Governance and financing models for sustained community improvement (3.55)
- Understanding how to estimate return on investment (3.54)
- Linking clinical care to population health (3.49)
- Language and concepts related to various clinical financing models (3.42)
- Data or health information (3.38)
General Technical Assistance (TA) Needs

Survey respondents indicated their preferred formats for general TA needs (based on mean scores on a four-point scale with 1 equaling “not useful at all” and 4 equaling “very useful”):

- On-site TA by a subject matter expert (3.67)
- Peer-to-peer (3.47)
- Visits to another state with a best practice (3.37)
- Webinars (3.28)
- Use of case study materials (3.22)
- Conference calls (3.19)
- FAQ forms (3.06)