

The Nuts & Bolts of State Health Improvement Planning

APHA 2012



What is a State Health Improvement Plan?

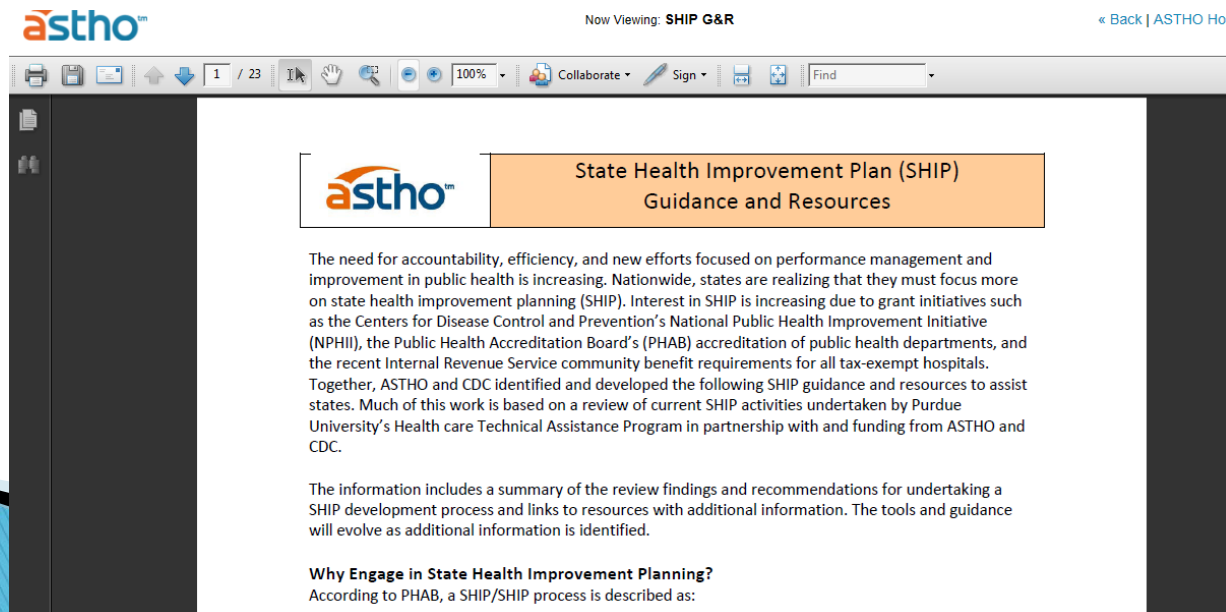
Link to PHAB:

PHAB Standard 5.2: Conduct a comprehensive planning process resulting in a tribal/state/community health improvement plan

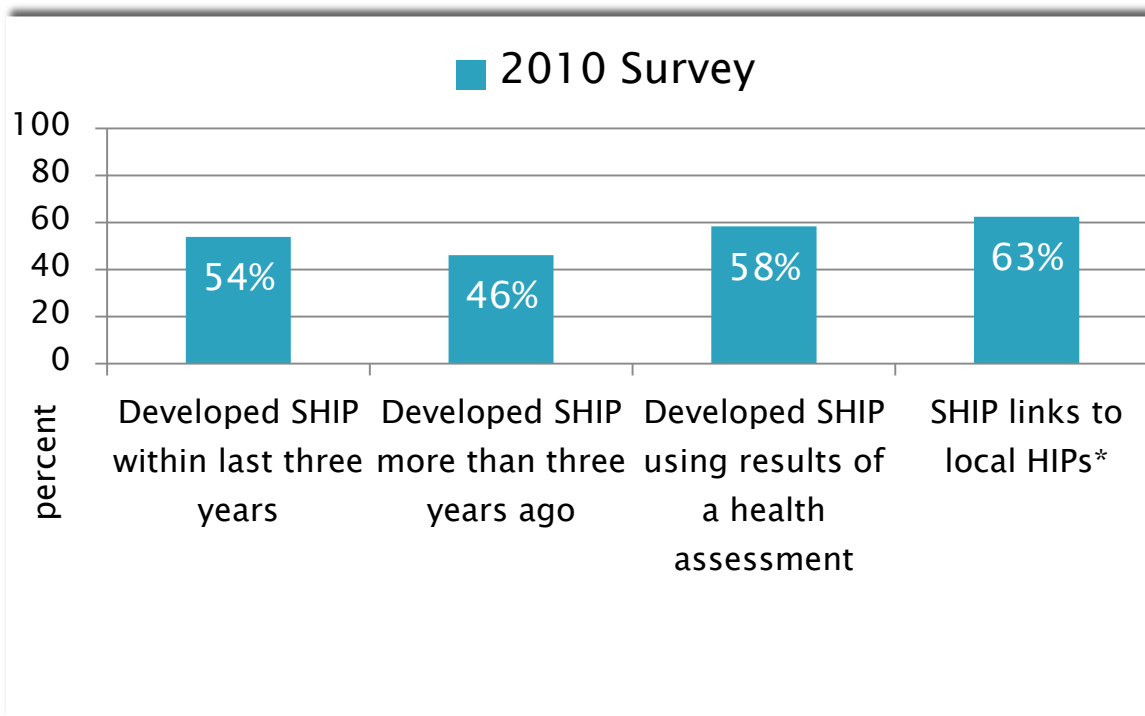
- A long-term systematic effort to address issues identified by the assessment and state health improvement *process*
- Is broader than the health department and should include partners
- Considered current by PHAB if developed or updated within a 5 year time period prior to application
- Based on state health assessment
- Relates directly to Domain 5

SHIP Environmental Scan

- ASTHO collaborated with Purdue University's Healthcare TAP and the CDC in 2010
- In depth look into state health improvement planning to find trends, common themes and examples
- ASTHO SHIP Guidance and Resource found at <http://www.astho.org/Programs/Accreditation-and-Performance/>



A Look at National Data

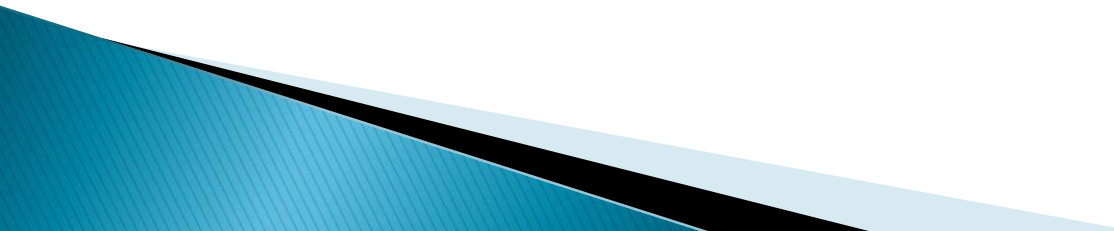


State Health Improvement Plan :

- 54% of states reported having a SHIP developed within the last 3 years, while 46% of states had a SHIP that was developed over 3 years ago.
- 58% of states indicated SHA data was used to develop the SHIP
- 63% of states reported the SHIP linked to LOCAL health improvement plans

Lessons Learned From the Scan

Our research identified 9 Basic Components of what went into a State Health Improvement Process

1. Establish a Planning Process or Select Model
 2. Identify and Engage Stakeholder in Planning and Implementation
 3. Engage in Visioning and Systems Thinking
 4. Collect or Analyze Data
 5. Establish Priorities & Identify Issues Through Priority Setting
 6. Communicate/Vet Priorities
 7. Develop Objectives, Strategies, and Measures
 8. Develop and Implement Workplan
 9. Monitor, Evaluate, and Update the SHIP
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Key Components

1. Establish a Planning Process or Select Model

The SHIP development process can range from 12 to 48 months. A good planning process builds commitment, engages system partners as active participants, uses time efficiently and produces a plan that can be realistically implemented.

Remember to:

- ▶ Identify someone to drive the development process
- ▶ Engage health department leadership
- ▶ Engage broad-based stakeholders early on

Possible Products or Activities:

- ▶ Process Timeline
- ▶ Steering or Planning Committee
- ▶ Asset Map (Personnel and Financial)
- ▶ Communications Plan

[Link to PHAB:](#)

According to measure 5.2.1S, the state health department must provide documentation of a completed state health improvement planning process using a model that supports a participatory process.

Key Components

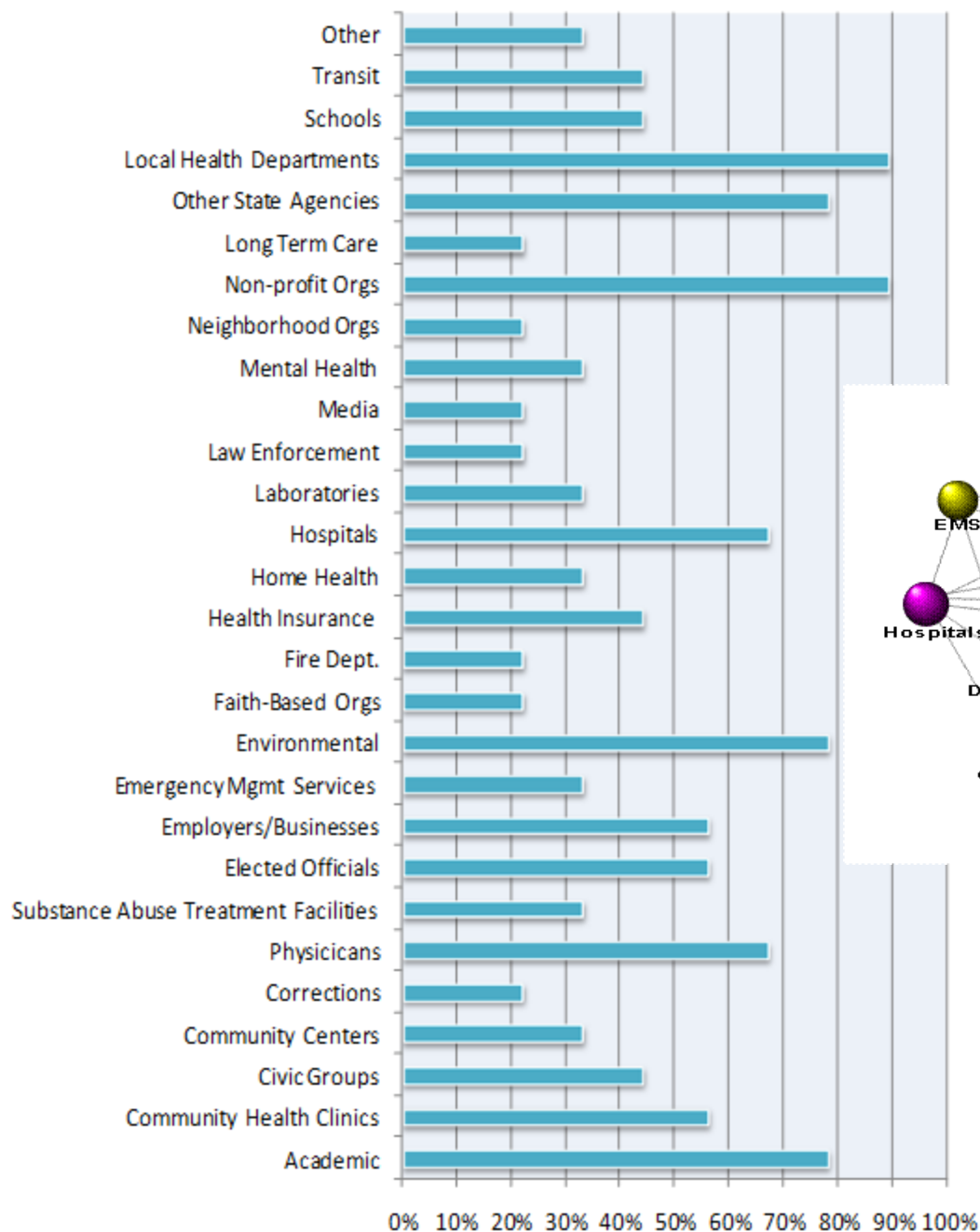
2. Identify and Engage Stakeholder in Planning and Implementation

Developing a SHIP is an opportunity to drive an ongoing state collaborative improvement process. The development, implementation and monitoring of a SHIP can be led by the state health agency but should be a shared responsibility among state health system partners.

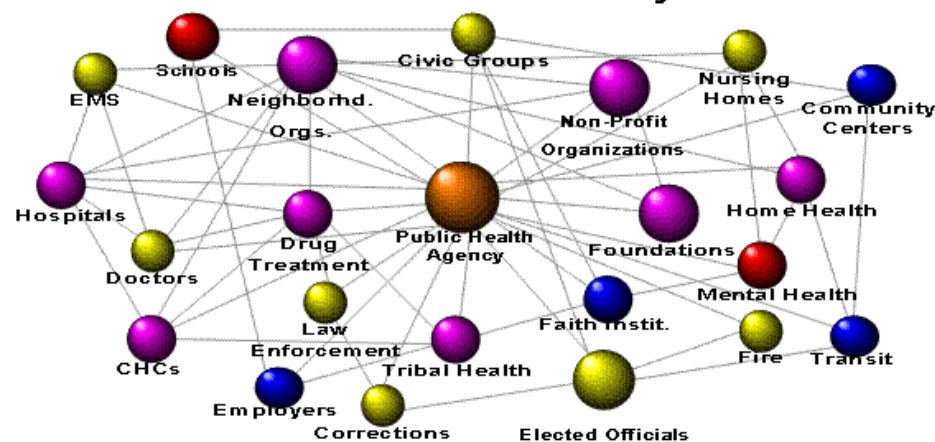
- ▶ Your partners should:
 - Be committed
 - Provide a broad range of perspectives
 - Contribute necessary resources
 - Be able to impact outcomes
 - Be diverse

- ▶ Throughout the process you should:
 - Evaluate partner participation

Link to PHAB:
PHAB measure 5.2.1S requires documentation of a health improvement planning process that includes broad participation of public health system partners.



The Public Health System



Key Components

3. Engage in Visioning and Systems Thinking

According to the MAPP strategic tool, a vision is a picture of the future you wish to create. It can help provide focus, purpose, and direction..., and mobilize participants to collectively achieve a shared vision of the future.

Identifying a vision for the state can support health improvement.

- ▶ During this step, the state partners address questions such as “What would we like our state and our state’s public health to look like in 10 years?”
- ▶ Points to Consider
 - Can other visioning efforts be incorporated?
 - Conducts a vision effort that includes broad state representation
 - Hold a visioning session
 - Make sure to capture information and disseminate with planning group
 - Use a facilitator
 - Refer to vision statement throughout SHIP development process

Link to PHAB:

Indirectly relates to measure 5.2.1 as this step includes broad system partner participation and focuses on identifying what is important to all partners regarding health.

Key Components

4. Collect or Analyze Data

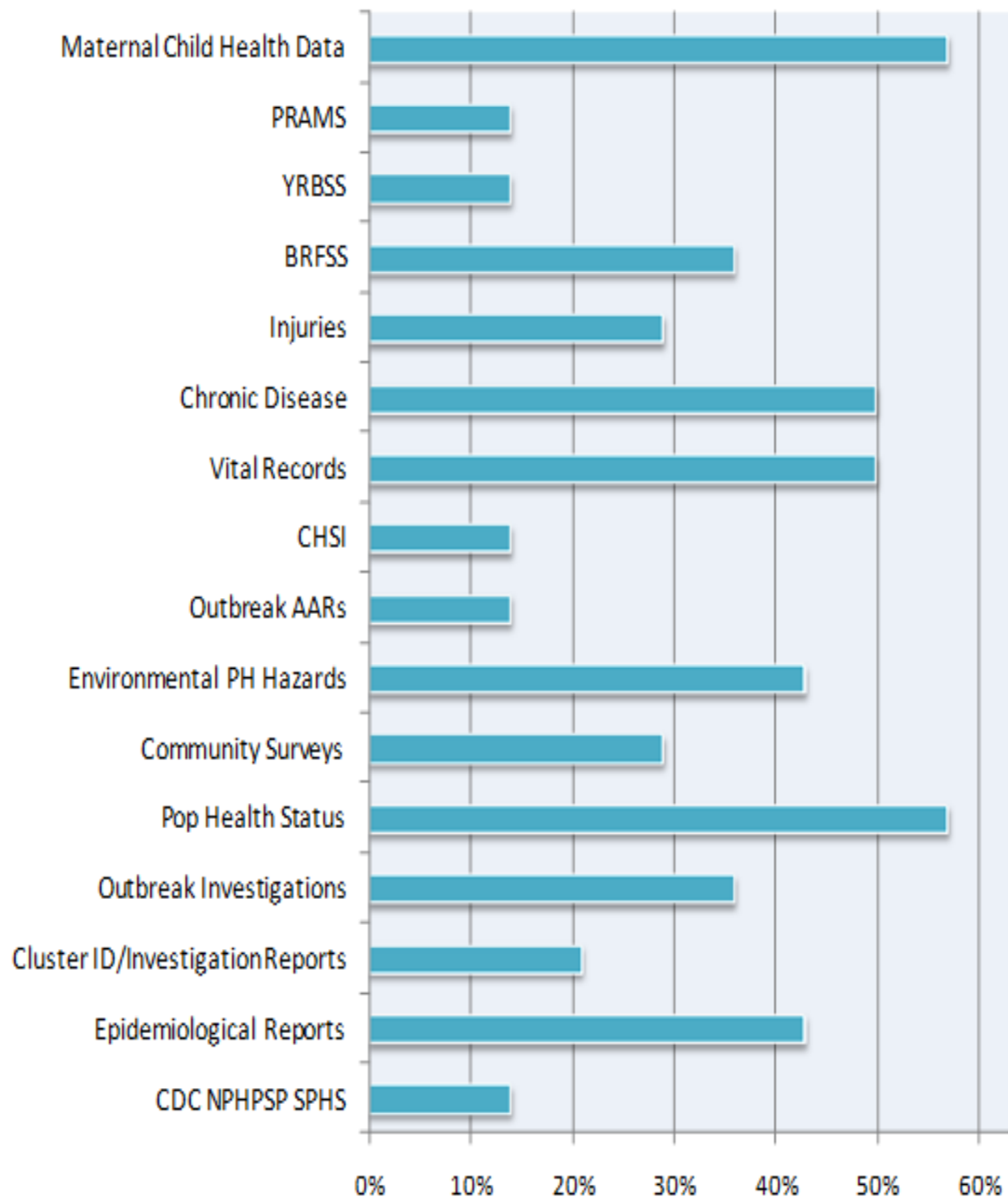
There are several types of data that can be used and methods for collecting data for a SHIP. For PHAB requirements, a SHIP must be data driven (should incorporate data from the state's community health assessment) and evidence based. Including data supports the rationale for choosing the priorities and indicators in the plan.

Remember that Data Should

- Align with the community/state health assessment
- Include health indicator and infrastructure/system capacity data

Data Can Provide Information on the Following:

- Themes and Strengths
- Forces of Change
- Health Status
- System Capacity Data (e.g., NPHPSP results)



Link to PHAB:

- As part of PHAB measure 5.2.1 S, evidence that system partners identified issues or themes to be addressed in the plan is a requirement. Additionally, states must show that assets and resources were identified and considered in the SHIP process.
- PHAB measure 5.2.1 S requires evidence that issues (and themes) were identified by stakeholders.
- PHAB measure 5.2.1 S indicates that states must be able to show that data from the community health assessment was used to inform the SHIP. Additionally, other data sets used in the plan must be identified.
- PHAB measure 5.2.1 S indicates that states must be able to show that data was used to inform the SHIP.

Key Components

5. Establish Priorities & Identify Issues Through Priority Setting

A SHIP should describe the priorities that a state chooses to address over a period of time. The information gathered in the previous steps should provide the necessary information to determine what the critical issues are that need to be addressed in the SHIP.

- ▶ Priorities can center on health outcomes, as well as system or infrastructure improvements

Remember to:

- Identify issues through priority setting exercise(s)
- Priorities are supported by data
- Communicate and vet priorities among partners
- Be Strategic

[Link to PHAB:](#)

Priority setting must be described in the SHIP (PHAB measure 5.2.2 S), including evidence that system partners contributed to the process. Additionally, priorities must align with tribal (where appropriate), local and national priorities.

Key Components

6. Communicate/Vet Priorities

To ensure momentum and support from leaders and stakeholders, build momentum for implementation, and utilize broad expertise related to the selected SHIP priorities, it is important to seek input and communicate progress throughout the SHIP planning and implementation process.

Steps:

- ▶ Identify who needs to be communicated with
- ▶ Determine how communication will occur and if feedback is necessary
- ▶ Develop a communication plan with a timeline

Suggestions for Modes of Communication:

- ▶ Online reports, presentations, public hearings, press releases, social media, newsletters, etc.

[Link to PHAB:](#)
Indirectly
linked to
measure 5.2.1
S by engaging
broad
participation in
the SHIP
process.

Key Components

7. Develop Objectives, Strategies, and Measures

Including time-framed measurable objectives in a SHIP provides a foundation for a SHIP implementation workplan and helps states track progress on the objectives for each priority over time.

- ▶ While objectives should push states toward achieving higher levels of health or performance, they should also be achievable and take into account the resources available to reach them.

Tips

- ▶ Use evidence based interventions
- ▶ Consider time frames, resources, and policies.
- ▶ Be SMART!

[Link to PHAB:](#)

PHAB measure 5.2.2 S requires that all SHIPs include objectives, improvement strategies and performance measures with time-framed targets. Strategies should be evidence-based. Policy changes needed to accomplish objectives must also be described in the SHIP. Accountable parties for each objective must be identified.

Key Components

8. Develop and Implement Workplan

As states identify strategies and measures for assessing outcomes, this information should be conveyed in an implementation plan (workplan). The implementation plan should indicate which organization(s) will carry out the SHIP strategies.

► Steps

- Develop an implementation workplan
- Identify responsible partners
- Include measurable outcomes, policy changes, and guidelines for monitoring
- Implement the workplan by carrying out the objectives and strategies

[Link to PHAB:](#)

States must submit a SHIP that was developed within five years of applying for accreditation. Measure 5.2.3 S also requires that evidence be provided to show the actions taken to implement strategies, partners involved and status of strategies. This can be done through a SHIP workplan.

Key Components

9. Monitor, Evaluate, and Update the SHIP

SHIP efforts should be developed as part of a cycle that facilitates continuous quality improvement. A SHIP can be a guide for ongoing system performance measurement and quality improvement for each identified priority. States should also monitor progress and make changes to the process as needed.

- ▶ Activities:
 - Determine appropriate check-in opportunities
 - Develop an evaluation for the SHIP
 - Determine who will evaluate the plan and make changes
 - EVALUATE the plan
 - Adjust plan as needed
 - Share updates
 - Use information for next process

[Link to PHAB:](#)
PHAB measure 5.2.4 S requires evidence that plans are being monitored. States should be able to provide evaluation reports of annual progress for measures and health indicators as well as any revisions made to SHIPs based on evaluation results.

More State Resources To Come...

- ▶ State Health Assessment
 - ▶ State Health Improvement Plan
 - ▶ Strategic Plan
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Thank You!

For more information:

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